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UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/076,136	02/14/2002	Ring-Ling Chien	100/13010

021569
CALIPER TECHNOLOGIES CORP
605 FAIRCHILD DRIVE
MOUNTAIN VIEW, CA 94043

CONFIRMATION NO. 8859

FORMALITIES LETTER



OC000000007628065

Date Mailed: 03/13/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$642.
 - \$306 for 17 total claims over 20.
 - \$336 for 4 independent claims over 3.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1512.**

05/13/2002 STEUHEL1 00000063 030177 10076136

01 FC:101	740.00 CH
02 FC:102	306.00 CH
03 FC:102	336.00 CH
04 FC:105	130.00 CH

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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(Modified) PTO/SB/21 (12-97)

For us through 9/30/00 OMB 0651-0031

MP#

TRANSMITTAL
FORM

(to be used for all correspondence after initial filing)

Application Number	10/076,136
Filing Date	February 14, 2002
First Named Inventor	Chi n
Group Art Unit	1741
Examiner Name	
Total number of pages This Submission	Attorney Docket No. 100/13010

ENCLOSURES (check all that apply)

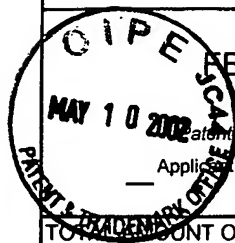
<input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate) <input type="checkbox"/> Fee Attached <input type="checkbox"/> No Fee Required <input checked="" type="checkbox"/> Preliminary Amendment <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Info. Discl. Stmt. (2 pages) <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawings <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> To Convert a Provisional Application <input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): Declaration 3.73(b) Statement Copy of Notice of Missing Parts Return Receipt Postcard
Authorization to Charge Deposit Account Please charge Deposit Account No. 03-0177 for any additional fees associated with this paper or during the pendency of this application.		
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Individual Name	Andrew L. Filler	Reg. No.	44,107
Signature			
Date	4-30-02		

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on this date: May 1, 2002			
Typed or Printed Name		Michelle Chan	
Signature	Michelle Chan	Date:	May 1, 2002



FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision

Applicant claims small entity status. See 37 CFR 1.27

Complete if Known

Application Number **10/076,136**
 Filing Date **February 14, 2002**
 First Named Inventor **Chien**
 Examiner Name
 Group/Art Unit **1741**
 Attorney Docket No. **100/13010**

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ORIGINAL FILED

TOTAL AMOUNT OF PAYMENT

\$1,512

METHOD OF PAYMENT (check one)

☐ Check ☐ Credit Card ☐ Money Order ☐ Other

Deposit Acct. No.

03-0177

Deposit Acct. Name

Caliper Technologies Corp.

The Commissioner is authorized to: (Check all that apply)

☒ Charge the fees indicated below

☒ Charge any additional fee(s) during the pendency of this application

☒ Credit any overpayments

FEE CALCULATION

1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	740
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	
SUBTOTAL (1)					740

2. EXTRA CLAIM FEES

Total claims	Extra claims	Fee from below	Fee Paid
37	-20** = 17	x 18 =	306
7	-3** = 4	x 84 =	336
Multiple Dependent			

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple dependent claims, if new	
109	84	209	42	**Reissue independent claims over original patent	
110	18	210	9	**Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					642

*or number previously paid, if greater; For Reissues, see above

SUBMITTED BY

Typed or Printed Name **Andrew L. Filler**

Signature

Andrew L. Filler

Date

4-30-02

Complete (if applicable)

Reg. Number **44,107**

Deposit Account User ID

03-0177

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an

envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on this date:

May 1, 2002

Typed or Printed Name

Michelle Chan

Signature

Michelle Chan

Date

May 1, 2002